

Future Radio Access for 5G

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- High-level Vision
 - Phantom cell concept
- Key Technologies
 - Small cell enhancements
 - Massive MIMO
 - Non-orthogonal multiple access (NOMA)
- 5G Simulator Demo

Network/Communication Society in 2020 and Beyond

Everything Connected by Wireless

Monitor/collect information & control devices

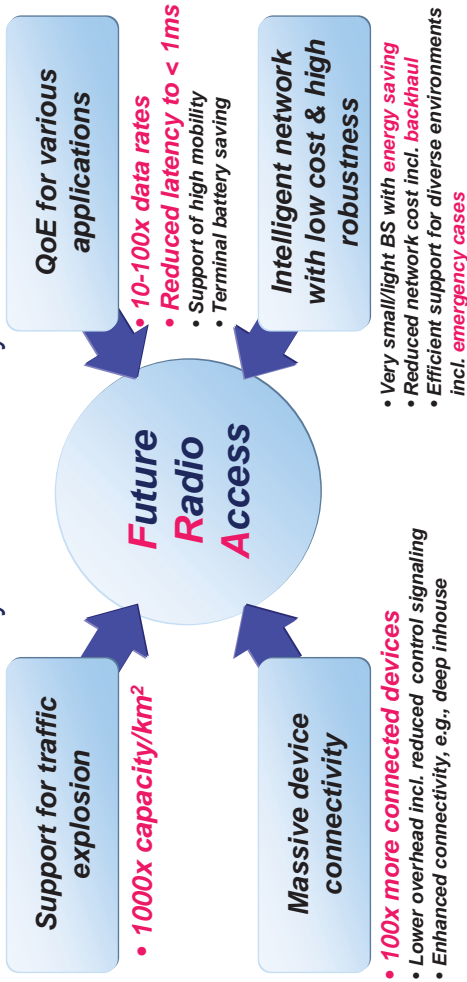


Extension/enrichment of wireless services

Deliver rich contents in real-time & ensure safety

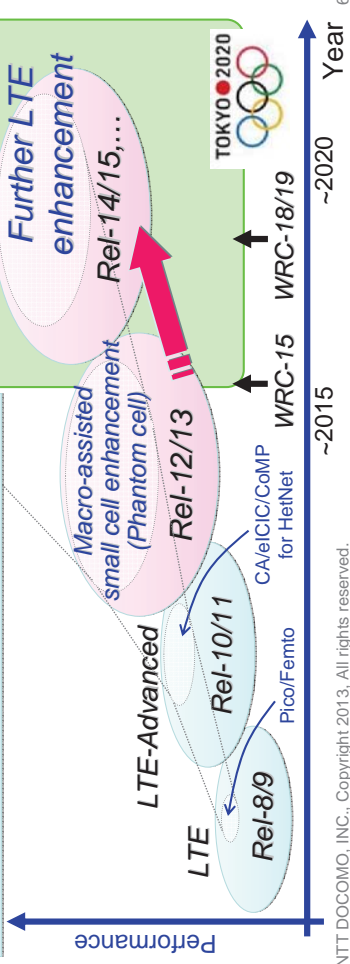


Various requirements for network/communication society in 2020 and beyond

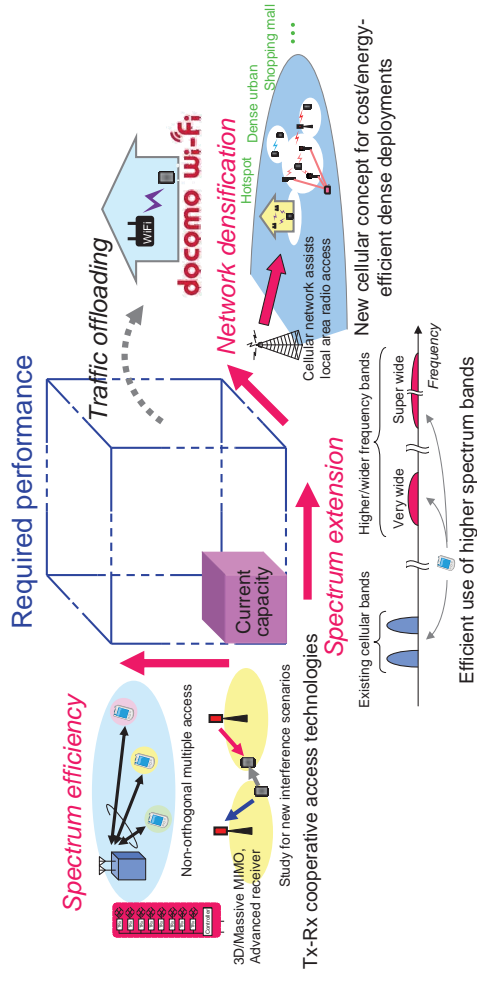


FRA will provide a total solution to satisfy the requirements

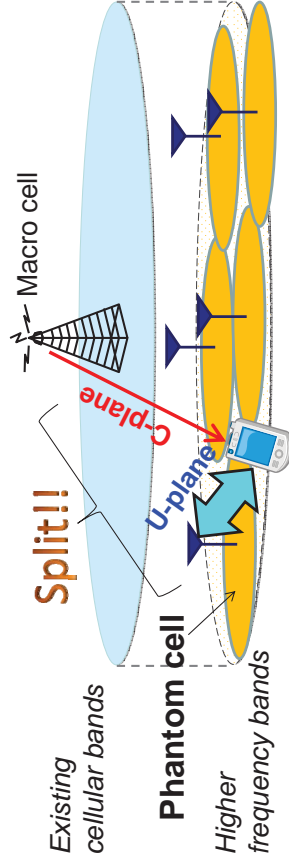
- Further LTE enhancements
 - Macro-assisted small cell enhancement (Phantom cell)
 - Further general LTE enhancements
- Potential new RAT
 - Should prioritize the achievement of more big gains over backward compatibility
 - Consider new spectrum allocations of WRC-15 and beyond
 - Some technical components may be applied to further LTE enhancements



A set of radio access technologies is required to satisfy future requirements



- Proposed architecture to utilize higher frequency bands
- "Phantom cell" – Split of C-plane & U-plane between macro and small cells in different frequency bands [1, 2]

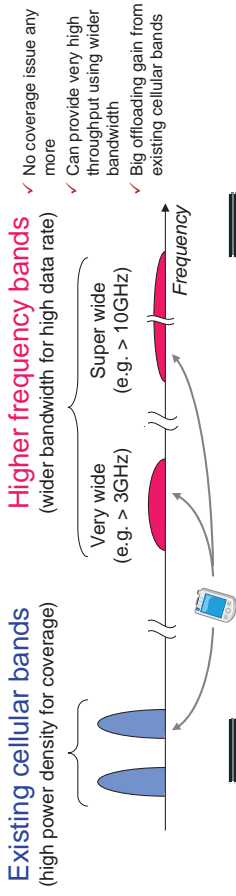


[1] NTT DOCOMO, 3GPP RWS-120010, June 2012.

[2] H. Ishii et al., IEEE Globecom 2012 Workshop, Dec. 2012.

- **C-plane:** Macro cell maintains good connectivity and mobility using lower frequency bands
- **U-plane:** Small cell provides higher throughput and more flexible/cost-energy efficient operations using higher/wider frequency bands

Combined usage of lower and higher frequency bands
 → **Higher frequency bands become useful and beneficial!**



- ✓ No coverage issue any more
- ✓ Can provide very high throughput using wider bandwidth
- ✓ Big offloading gain from existing cellular bands

Key Technologies

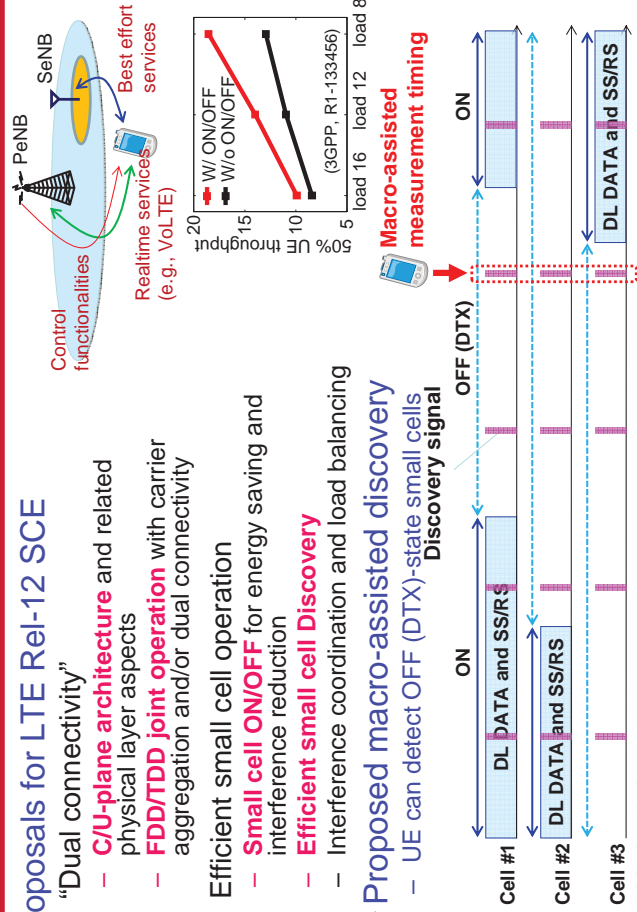
Proposals for Small Cell Enhancements

Proposals for LTE Rel-12 SCE

- “Dual connectivity”
 - **CU-plane architecture** and related physical layer aspects
 - **FDD/TDD joint operation** with carrier aggregation and/or dual connectivity
- Efficient small cell operation
 - **Small cell ON/OFF** for energy saving and interference reduction
 - **Efficient small cell Discovery**
 - Interference coordination and load balancing

Proposed macro-assisted discovery

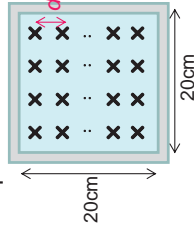
- UE can detect OFF (DTX)-state small cells



Massive MIMO in higher frequency

- **Massive MIMO** – Beamforming using massive antenna elements in higher frequency bands
- **Essential technology to extend effective cell range**

Example 2D antenna configuration



Antenna element spacing (d)	3.5 GHz ($\lambda = 8.6$ cm)	10 GHz ($\lambda = 3$ cm)	20 GHz ($\lambda = 1.5$ cm)
0.5 λ	16	169	676
0.7 λ	9	81	361

LTE 3D-MIMO Massive MIMO

→ Compensation of increased path loss & Improved spectrum efficiency

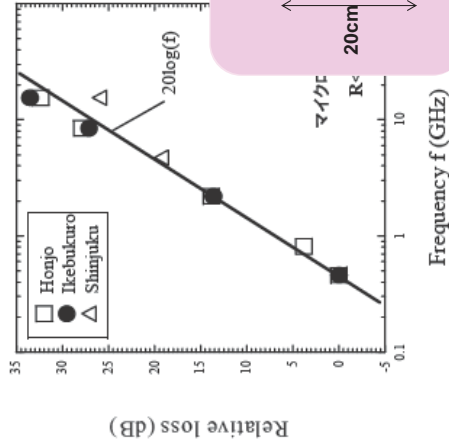
Cell range extension by beamforming gain

Improved spectrum efficiency with (multi-user) spatial multiplexing



- Problem: In higher frequency bands, path-loss scales with $20\log(f)$ [1]

[1] Oda et al., IEEE VTC2001-Spring

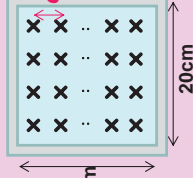


One digit increase of carrier frequency (e.g., 2GHz \rightarrow 20GHz) is approximately equivalent to **20dB power loss**



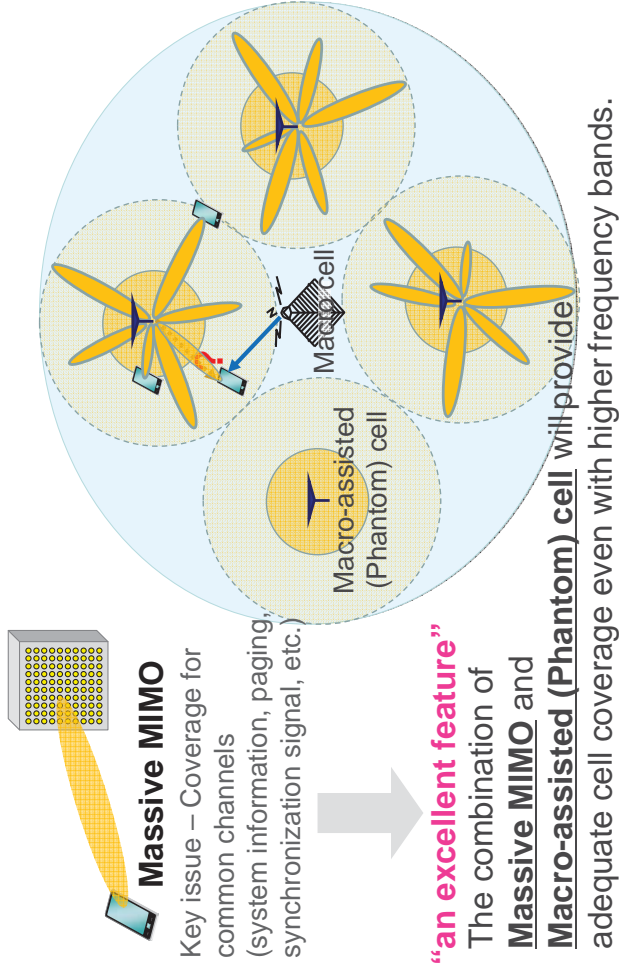
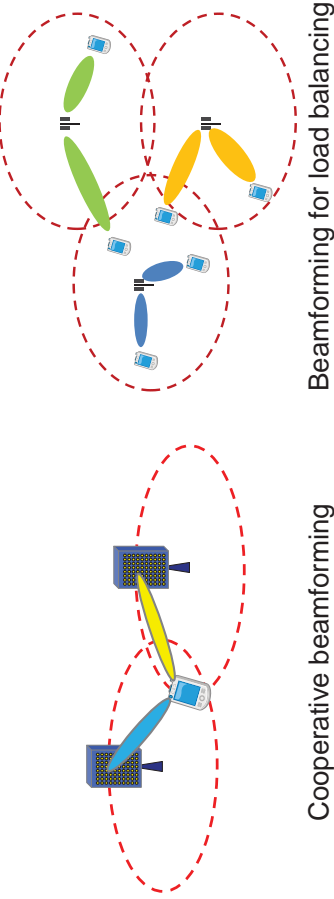
Beamforming gain vs. path loss

Number of antenna elements grows proportionally in square for a fixed surface \rightarrow **100x (20dB) BF gain**



Macro-assisted discovery for Massive MIMO

- Discovery function is a key to achieve advanced multi-site operations for Massive MIMO
 - Multi-site cooperative beamforming
 - Beamforming for load balancing among cells
- \rightarrow Macro-assisted discovery is an effective approach

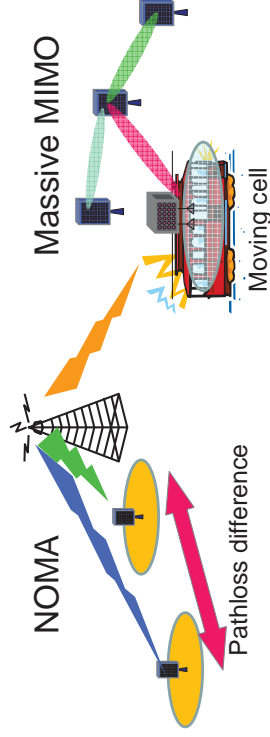


Massive MIMO
Key issue – Coverage for common channels (system information, paging, synchronization signal, etc.)

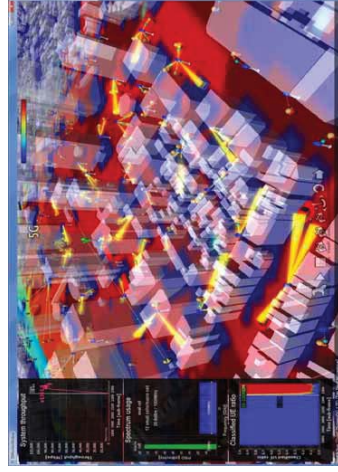
“an excellent feature”
The combination of **Massive MIMO** and **Macro-assisted (Phantom) cell** will provide adequate cell coverage even with higher frequency bands.

Non-Orthogonal Multiple Access (NOMA)

- **Flexible support for access & backhaul links**
 - Support for moving cell as well
- **Massive MIMO and NOMA** will be effective also for increasing capacity of wireless backhaul



Simulator of high capacity and high data rate 5G world

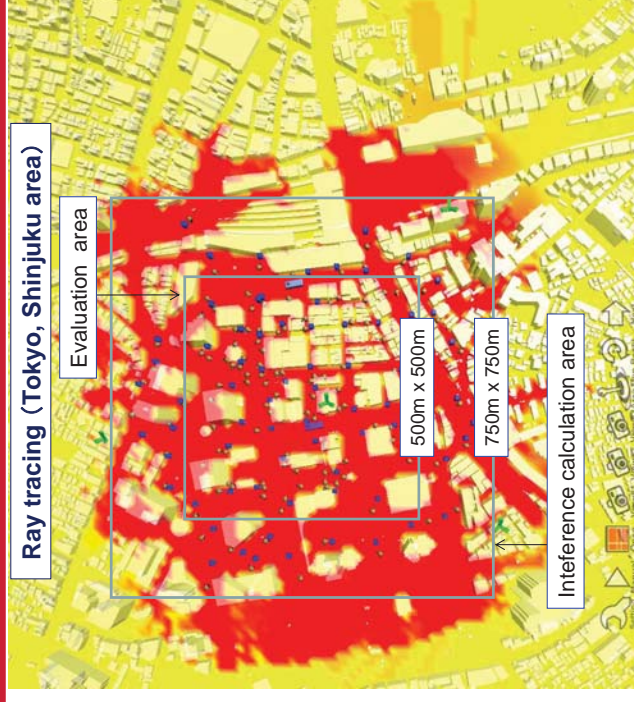


CEATEC AWARD 2013
総務大臣賞

- Real-time evaluation of system performance and demonstration of download & play of high resolution movie (4K)
- Confirmation of DOCOMO candidate 5G technologies in real propagation environment (Shinjuku area)

5G Simulator Demo

Evaluation & Interference Calculation Area



- We presented our views on vision, requirements and potential key technologies for *Future Radio Access (FRA)*:
 - Macro-assisted small cell, i.e., Phantom cell, and Massive MIMO are promising in the long-term future for higher/wider frequency bands
 - Non-orthogonal multiple access (NOMA) is a promising technology for future cellular enhancements
 - Other technologies, e.g. new numerology/frame structure, new waveform, contention based UL and flexible NW need to be further studied

FRA technical concept

Combined usage of lower and higher frequency bands

Future cellular enhancements

Non-orthogonal multiple access (NOMA), etc.

Phantom cell concept (CU plane split)

Exploitation of higher frequency bands

Massive MIMO, Numerology/frame design, etc.